

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** ( Not for submission under 37 CFR 1.99)

|                        |               |
|------------------------|---------------|
| Application Number     | 10776101      |
| Filing Date            | 2004-02-11    |
| First Named Inventor   | Kim C. Hardee |
| Art Unit               | 2827          |
| Examiner Name          | Hoang, Huan   |
| Attorney Docket Number | UMI-360       |

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|  | 1       | 6208575            |                        | 2001-03-01       | Proebsting, Robert J.                           |  |        |
|  | 2       | 6275432            |                        | 2001-08-01       | Hardee, Kim C.                                  |  |        |
|  | 3       | 6449182            |                        | 2002-09-01       | Ooishi, Tsukasa                                 |  |        |
|  | 4       | 6424585            |                        | 2002-07-01       | Ooishi, Tsukasa                                 |  |        |
|  | 5       | 6449204            |                        | 2002-09-01       | Arimoto et al.                                  |  |        |
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| Examiner Initial* | Cite No | Foreign Document Number <sup>3</sup> | Country Code <sup>2</sup> i | Kind Code <sup>4</sup> | Publication Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear | T <sup>5</sup>           |
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

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| Attorney Docket Number | UMI-360       |

**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

☒ See attached certification statement.

☒ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☐ None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

|            |                     |                     |            |
|------------|---------------------|---------------------|------------|
| Signature  | /William J. kubida/ | Date (YYYY-MM-DD)   | 2007-01-11 |
| Name/Print | William J. Kubida   | Registration Number | 29664      |

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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The information provided by you in this form will be subject to the following routine uses:

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3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
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5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
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9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kim C. Hardee

Serial No. 10/776,101

Filed: February 11, 2004

For: HIGH SPEED POWER-GATING TECHNIQUE  
FOR INTEGRATED CIRCUIT DEVICES  
INCORPORATING A SLEEP MODE OF OPERATION

Confirmation No.: 2835

Art Unit: 2827

Examiner: Hoang, Huan

Customer No.: **25235**

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT WITH FEE

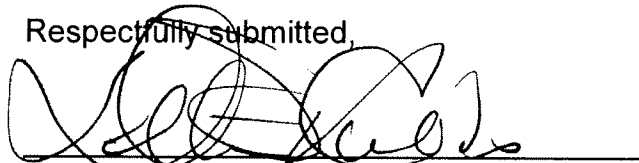
Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The Applicant has recently become aware of the additional references noted on the enclosed form PTO/SB/08A for the Examiner's consideration pursuant to the Applicant's duty of disclosure under 37 C.F.R. 1.97(c)(2). Because an office action has been mailed in this case, please charge deposit account no. 50-1123 in the amount of \$180.00 to cover the fee believed required under 37 C.F.R. 1.17(p). Authorization is hereby granted to credit any overpayment or debit any underpayment of any fee required pursuant to this Supplemental Information Disclosure Statement to Deposit Account No. 50-1123.

Submission of the Supplemental Information Disclosure Statement is not a representation that a search has been made or that the cited information is, or is considered to be, material to patentability of the above application.

Respectfully submitted,



William J. Kubida, Reg. No. 29,664  
HOGAN & HARTSON  
One Tabor Center  
1200 17th Street, Suite 1500  
Denver, Colorado 80202  
(719) 448-5909 Tel  
(303) 899-7333 Fax

11 January 2007  
Date